

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE THE PATENT APPLICATION OF: Mazumder, Mark M., et al.

Appl. No.: 10/771,647

Art Unit: 3738

Filed: 02/04/2004

Examiner:

For: Encased Stent

**STATEMENT UNDER 37 CFR 3.73(b)—**  
**ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

1. The assignee of the entire right, title and interest hereby seeks to take action in the PTO in this matter.

2. The assignee, Board of Trustees of the University of Arkansas, is a public body politic and corporate, duly established under and pursuant to the laws and Constitution of the State of Arkansas.

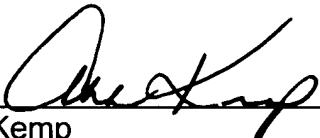
3. The person authorized to act on behalf of the assignee is:

Ann Kemp

Title: Vice President for Finance and Administration

4. The ownership by the assignee is established as follows: an assignment recorded in the USPTO at Reel/Frame 014791/0655 on June 29, 2004. A copy of the assignment is attached to this Statement.

Date: 7/12/04

  
\_\_\_\_\_  
Ann Kemp  
Vice President for Finance and Administration

## Facsimile Transmission

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\*500001761A\*

JUNE 29, 2004

PTAS

RAY F. COX, JR.  
200 WEST CAPITOL AVENUE, SUITE 2300  
LITTLE ROCK, AR 72201-3699

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/29/2004

REEL/FRAME: 014791/0655  
NUMBER OF PAGES: 11

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MAZUMDER, MARK M.

DOC DATE: 06/28/2004

ASSIGNOR:

MEHTA, JAWAHAR L.

DOC DATE: 05/26/2004

ASSIGNOR:

MAZUMDER, MALAY K.

DOC DATE: 05/26/2004

ASSIGNOR:

ALI, NAWAB

DOC DATE: 05/26/2004

ASSIGNOR:

TRIGWELL, STEVEN

DOC DATE: 06/04/2004

ASSIGNOR:

DE, SAMIRAN

DOC DATE: 05/26/2004

014791/0655 PAGE 2

ASSIGNOR:

SHARMA, RAJESH

DOC DATE: 05/26/2004

ASSIGNEE:

BOARD OF TRUSTEES OF THE  
UNIVERSITY OF ARKANSAS  
2404 NORTH UNIVERSITY AVENUE  
LITTLE ROCK, ARKANSAS 72207-3608

SERIAL NUMBER: 10771647

FILING DATE: 02/04/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: ENCASED STENT

LAZENA MARTIN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

06/29/2004  
500001761

SUBMISSION TYPE:	NEW ASSIGNMENT																
NATURE OF CONVEYANCE:	ASSIGNMENT																
CONVEYING PARTY DATA																	
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Mark M. Mazumder</td><td>06/28/2004</td></tr><tr><td>Jawahar L. Mehta</td><td>05/26/2004</td></tr><tr><td>Malay K. Mazumder</td><td>05/26/2004</td></tr><tr><td>Nawab Ali</td><td>05/26/2004</td></tr><tr><td>Steven Trigwell</td><td>06/04/2004</td></tr><tr><td>Samiran De</td><td>05/26/2004</td></tr><tr><td>Rajesh Sharma</td><td>05/26/2004</td></tr></tbody></table>	Name	Execution Date	Mark M. Mazumder	06/28/2004	Jawahar L. Mehta	05/26/2004	Malay K. Mazumder	05/26/2004	Nawab Ali	05/26/2004	Steven Trigwell	06/04/2004	Samiran De	05/26/2004	Rajesh Sharma	05/26/2004	
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Rajesh Sharma	05/26/2004																
RECEIVING PARTY DATA																	
Name:	Board of Trustees of the University of Arkansas																
Street Address:	2404 North University Avenue																
City:	Little Rock																
State/Country:	ARKANSAS																
Postal Code:	72207-3608																
PROPERTY NUMBERS Total: 1																	
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10771647</td></tr></tbody></table>	Property Type	Number	Application Number:	10771647													
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Application Number:	10771647																
CORRESPONDENCE DATA																	
Fax Number:	(501)376-9442																
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>																	
Phone:	501-371-0808																
Email:	rcox@wlj.com																
Correspondent Name:	Ray F. Cox, Jr.																
Address Line 1:	200 West Capitol Avenue, Suite 2300																
Address Line 4:	Little Rock, ARKANSAS 72201-3699																
NAME OF SUBMITTER:	Ray F. Cox, Jr.																

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## Total Attachments: 9

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**ASSIGNMENT**

WHEREAS, We, Mark M. Mazumder, of 4 Lorian Drive, Little Rock, Arkansas 72212; Jawahar L. Mehta, of 79 Pebble Beach Drive, Little Rock, Arkansas 72212, Malay K. Mazumder, of 4 Lorian Drive, Little Rock, Arkansas 72212; Nawab Ali, of 1900 Sawgrass Drive, Little Rock, Arkansas 72212; Steven Trigwell, of 225 South Tropical Trail #907, Merritt Island, Florida 32952; Rajesh Sharma, of 3401 Fair Park Blvd., #A106, Little Rock, Arkansas 72204; and Samiran De, of 3401 Fair Park Blvd., #D202, Little Rock, Arkansas 72204 ("Assignors"), have jointly invented certain new and useful improvements in an invention entitled "Encased Stent", set forth in United States Patent Application No. 10/771,647, filed February 4, 2004; and

WHEREAS, the Board of Trustees of the University of Arkansas ("Assignee"), a public body politic and corporate, duly established under and pursuant to the laws and Constitution of the State of Arkansas, and having an office and place of business at the University of Arkansas, 2404 North University Avenue, Little Rock, Arkansas 72207-3608, is desirous of acquiring the entire right, title and interest in said invention, in said application, and in any Letters Patent or Patents, United States or foreign, to be obtained therefrom;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, We, the said Assignors, and by these presents do assign, sell, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above mentioned invention, applications for Letters Patent, and any and all Letters Patent or

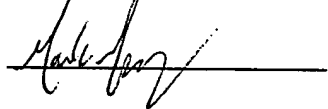
Patents in the United States of America and all foreign countries which may be granted therefore and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof, and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors, had this sale and assignment not been made.

And for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignors will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said applications for Letters Patent or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to the said Assignee, its successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.



And the said Assignors hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States to the said Assignee, as the Assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said Assignee, its successors, legal representatives and assigns.

SIGNED this 28<sup>th</sup> day of June, 2004.



MARK M. MAZUMDER


ACKNOWLEDGMENT

Arkansas SC  
STATE OF MASSACHUSETTS

COUNTY OF Pulaski

On this the 28<sup>th</sup> day of June, 2004, before me, Vannie Cash  
a Notary Public, personally appeared Mark M. Mazumder, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged that he/she executed the same.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

  
Notary Public

My Commission Expires:

5/9/2011

SIGNED this 26<sup>th</sup> day of May, 2004.  
J L Mehta

JAWAHAR L. MEHTA

ACKNOWLEDGMENT

STATE OF ARKANSAS

COUNTY OF Pulaski

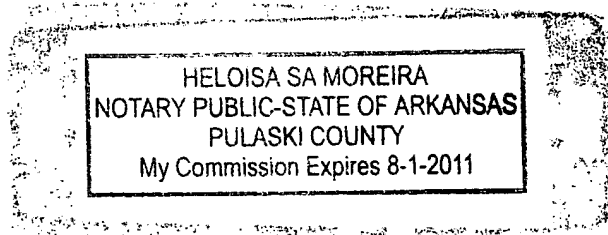
On this the 26<sup>th</sup> day of May, 2004, before me, a Notary Public, personally appeared Jawahar L. Mehta, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged that he/she executed the same.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

HeLoisa Sa Moreira  
Notary Public

My Commission Expires:

8-1-2011



SIGNED this 26 day of May, 2004.

Malay K. Mazumder  
MALAY K. MAZUMDER

ACKNOWLEDGMENT

STATE OF ARKANSAS

COUNTY OF Pulaski

On this the 26<sup>th</sup> day of May, 2004, before me, a Notary Public, personally appeared Malay K. Mazumder, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged that he/she executed the same.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

Thimmie S. Bush  
Notary Public

My Commission Expires:

5/9/2011

SIGNED this 26 day of May, 2004.

Nawab Ali

NAWAB ALI

ACKNOWLEDGMENT

STATE OF ARKANSAS

COUNTY OF Pulaski

On this the 26<sup>th</sup> day of May, 2004, before me, a Notary Public, personally appeared Nawab Ali, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged that he/she executed the same.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

Wammie J. Cash  
Notary Public

My Commission Expires:

5/9/2011

SIGNED this 4 day of JUNE, 2004.

Steven Trigwell  
STEVEN TRIGWELL

ACKNOWLEDGMENT

STATE OF FLORIDA

COUNTY OF BREVARD

On this the 4th day of JUNE, 2004, before me, LORI A. UFFNER  
a Notary Public, personally appeared Steven Trigwell, personally known to me or  
proved to me on the basis of satisfactory evidence to be the person whose name is  
subscribed to this instrument, and acknowledged that he/she executed the same.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

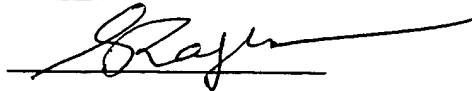
Lori A. Uffner  
Notary Public

My Commission Expires:

3-3-04



SIGNED this 26<sup>th</sup> day of MAY, 2004.



RAJESH SHARMA


ACKNOWLEDGMENT

STATE OF ARKANSAS

COUNTY OF Pulaski

On this the 26<sup>th</sup> day of May, 2004, before me, a Notary Public, personally appeared Rahesh Sharma, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged that he/she executed the same.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

  
Notary Public

My Commission Expires:

5/9/2011

SIGNED this 26 day of MAY, 2004.

Samir De

SAMIRAN DE

ACKNOWLEDGMENT

STATE OF ARKANSAS

COUNTY OF Pulaski

On this the 26th day of May, 2004, before me, Jammie J. Cash  
a Notary Public, personally appeared Samiran De, personally known to me or proved to  
me on the basis of satisfactory evidence to be the person whose name is subscribed to  
this instrument, and acknowledged that he/she executed the same.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

Jammie J. Cash  
Notary Public

My Commission Expires:

5/9/2011